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Attorney Docket No. 0756-2450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Group Art Unit: 2814  
Hideomi SUZAWA et al. ) Examiner: D. Wille  
Serial No. 10/086,628 )  
Filed: March 4, 2002 )  
For: SEMICONDUCTOR DEVICE AND )  
MANUFACTURING METHOD )  
THEREOF )

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

- U.S. Application Serial No. 09/566,730 is in the family of JP 2001-250953.
- U.S. Application Serial No. 09/566,722 is in the family of JP 2001-257359.
- U.S. Application Serial No. 09/566,723 is in the family of JP 2001-255560.
- U.S. Application Serial No. 09/566,729 is in the family of JP 2001-264804.
- U.S. Application Serial No. 09/566,742 is in the family of JP 2001-264807.
- U.S. Application Serial No. 09/566,733 is in the family of JP 2001-318626.

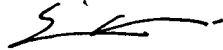
A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.101, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

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required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.  
A duplicate copy of this sheet is attached.

Respectfully submitted,



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Reg. No. 38,285

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21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

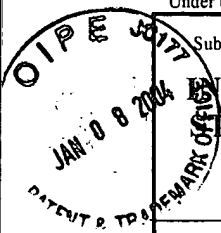
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## **Complete if Known**

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/086,628            |
| Filing Date            | March 4, 2002         |
| First Named Inventor   | Hideomi SUZAWA et al. |
| Group Art Unit         | 2814                  |
| Examiner Name          | D. Willie             |
| Attorney Docket Number | 0756-2450             |

Sheet 1 of 3

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited<br>Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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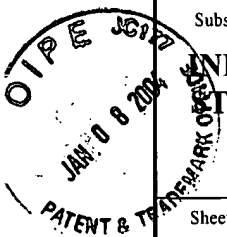
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Sheet

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## **Complete if Known**

|                        |                       |
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| Application Number     | 10/086,628            |
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| Attorney Docket Number | 0756-2450             |

## **U.S. PATENT DOCUMENTS**

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|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|---|----------------|
|                                   |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |  |   |                |
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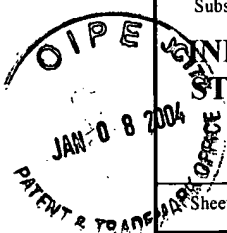
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |   |    |   | Application Number       | 10/086,628            |
|   |   |    |   | Filing Date              | March 4, 2002         |
|   |   |    |   | First Named Inventor     | Hideomi SUZAWA et al. |
|   |   |    |   | Group Art Unit           | 2814                  |
|   |   |    |   | Examiner Name            | D. Willie             |
| Sheet   | 3 | of | 3 | Attorney Docket Number   | 0756-2450             |

| FOREIGN PATENT DOCUMENTS          |                          |                         |                     |                                      |  |  |   |                |
|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|---|----------------|
| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
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|                                   |                          | JP                      | 2001-264804         |                                      |  | 09/26/2001   |   | AB             |
|                                   |                          | JP                      | 2001-318626         |                                      |  | 11/16/2001   |   | AB             |
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|                                   |                          |                         |                     |                                      |  |  |   |                |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---|--------------------------|---|----------------|
| Examiner<br>Initials <sup>1</sup>                 | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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|   |                          | OFR, specification, claims, abstract, and drawings of U.S. Serial No. 09/566,730 filed 05/09/2000 entitled "Semiconductor Device and Method of Fabricating the Same."   |                |
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